

COPENHAGEN

COMPLIANCE



Conferences, Seminars and Courses

Parallel Sessions provide the latest:

- Compliance issues for Financial Services
- Compliance concerns for the Medico Industry
- CSR (ESG) Workshop
- Risk Workshop

November 16-17, 2009

Confederation of Danish Industries

Pyramiden, H.C.Andersens Boulevard 18, 1787 Copenhagen V. Denmark



Embracing a Common and Integrated Approach to Governance, Risk and Compliance (GRC) issues

Conference Focus: Unlock the value of your Governance, Risk and Compliance (GRC) investments and achieve improved business results by synchronizing your GRC strategy with your business strategy. The Key Note presentations will inspire to build deeper relationships and maximize business productivity.

'So many regulations, so little time, so few resources', this statement more or less sums up the scenario for many enterprises to day. When the auditors come, the accounting, finance and IT departments are swamped with the tasks that prove and document compliance. Learn more on how to prepare people, process, departments and technology on the causes of such a deplorable scenario, if you are not in compliance.

As GRC becomes more diverse and interrelated, as laws and regulations become more complicated and as boards and executives become more accountable, the activities and controls associated with GRC have expanded accordingly, becoming extraordinarily complex themselves. Learn how to prepare people, process, departments and technology to be abreast of GRC prospects.

Through presentations and panel discussion Copenhagen Compliance Conference will help you unlock the real business GRC issues, problems and tools. The Conference presentations will assist to position your GRC ideas and thoughts into actions and plans that leverage GRC for strategic business advantage.

Each Key Note Presentation will take 15-20 minutes, followed by a panel discussion with 3 previous Key Note Speakers.

Program 16th and 17th November 2009 at Dansk Industri

Embracing a Common and Integrated Approach to Governance, Risk and Compliance (GRC) issues;

DAY 1: Morning Session 09:00-13:00

GRC challenges for the Manufacturing, Healthcare, Service, Medico and Financial Services

- **Opening Remarks from Chair & Presentation of the Copenhagen Compliance Conference**

Conference Chairman Mikael Frederiksen, Director of Finance, Microsoft

- **Current Trends and Developments in the EU regarding Governance, Risk and Compliance**

Europeans started the international quest for corporate transparency, accountability etc. in 1998 in order to create a single financial market across the EU four years ahead of SOX. What are the current trends and developments?

Jesper Lau Hansen, dr. jur. & LL.M. (Cantab). Professor of Financial Markets Law

- **Restore Trust both inside and outside the company**

Explore and discuss how leading companies have approached the immense challenge in a global economy that companies have encountered during the crisis. Get the various internal teams e.g. Compliance and Internal Audit teams to work together. Establish efficient and effective procedures including departmental overlap, interaction, strategy, and efficiencies.

Frank Hailstones, CA CPFA ACA IIA

- **The triumph of GRC develops from Best Practices to implementing Next Generation Techniques in GRC**

Promote the Use of Internal Audit by automating Audit Operations and Segregation of Duties, deploying Federated Enterprise Content Management and converting to XBRL, Using Business Process Modeling and Applying Lean Six Sigma to GRC

Dr. Deb Cernauskas, Ph.D, Dr. Anthony Tarantino, Ph.D

- **Balance compliance with pressing business needs**

Designing, implementing and operating an integrated framework for privacy, security and theft prevention. Explore keys to success, practical techniques, and ways that companies can balance compliance and data protection with pressing business needs

Paul Grainer, Managing Director - Resources Compliance, UK

- **Listen to Your Whistleblowers**

The need for effective and unproblematic whistleblower policy in Companies is greater than ever. The current financial crisis and economic turmoil has resulted in a probable increase in fraud. However, since a majority of companies are still being accused of only 'paying lip service' to whistleblower policy, an additional conclusion is that increased fraud in companies continues to go seemingly undetected. In addition discover the hottest Whistleblower issues and guidelines elsewhere in Europe

Mariano A. Davies, President, BCCD. Chairman, Training Commission

- **GRC from an Investors viewpoint**

Companies can meet their regulatory obligations. How companies can and must inspire a culture of integrity, ethics and compliance

Hege Sjø, Head of Scandinavian CG Team - Hermes Investment Ltd, London

DAY 1: Afternoon Session 14:00-17:30

IT and Information Security

- **The Role of IT in Effective Risk Management**

Successful ERM strategies require proper use of personnel, processes, and information technology; ERM leaders who leverage IT to their advantage are more likely to meet their ERM and business objectives. Learn how IT can help ERM executives monitor business process execution, and manage risk through comprehensive reporting and assessment, specific principles and techniques used to kick start the implementation of risk and compliance management

Frank Hailstones, CA CPFA ACA IIA

- **The role of IT in aligning Risk Exposure to Risk Appetite**

How a straightforward system of risk quantification is far advanced. Why COSO II and other frameworks have their share of ERM breakdowns. Tony will demonstrate the alignment with working examples of successful firms using a quantification and rationalization process.

Dr. Deb Cernauskas, Ph.D, Dr. Anthony Tarantino, Ph.D

- **XBRL**

Learn about the lessons and issues that early filers have experienced, pitfalls to avoid, and experiences companies had during the process

Jan Nygaard Nielsen, Ph.D. Managing Architect - Netcompany

- **Information Security Strategy**

Explore the development of an Information Security Strategy that includes trends in guidance, strategies, metrics, and narratives

Frederik Fabricius, Manager - GOV. IT

- **Panel Session: Technology and GRC Implementation**

Explore the key role technology plays in GRC implementation. This elaborate panel session with the IT experts, will provide an understanding of the challenges of the information security world. After a brief introduction from each expert on one subject you will be aware of the full range of elements in a good security program. including

Access control, Asset management, Business continuity management, Communications and operations management, Human resources security, Information security policy, Information systems acquisition, development and maintenance, Information security incident management, Organization of information security, Physical and environmental security

Frank Hailstones, CA CPFA ACA IIA

Frederik Fabricius, Manager - GOV. IT

Jan Nygaard Nielsen, Ph.D. Managing Architect - Netcompany

Tomas Hellum, CEO, ThobiasAP/A/S

Dr. Anthony Tarantino, Ph.D.

DAY 2: Morning Session 09:00-13:00

Practical GRC, Cases, Solutions and Clarifications. Risk Management issues in all shapes, sizes and forms

- **From Compliance to Risk. Creating a revised foundation to Risk Management**

Define, implement and establish a common methodology and language of risk and control, using leveraged technology to bring it all together. Explore and discuss how your organization can make the transition from Compliance to Risk. A broader focus and approach on risk management, compliance, legal, internal audit, third-party and supply-chain risks and managing integrity across your partners

Paul Grainger, Director, Resources Compliance (UK) Ltd

- **Refining Risk Management disciplines in Corporate Governance**

The Failure of Corporate Board Governance and Risk Management actions generated the Global Economic Crisis and Financial Turmoil. Reforms in Risk Management should prevent their Re-Occurrence

Dr. Deb Cernauskas, Ph.D & Dr. Anthony Tarantino, Ph.D

- **GRC Survey**

Status on the Compliance functions responsibility, task and value in more than 100 companies in Denmark". KPMG have in September 2009 conducted a survey asking more than 100 companies about areas such as: Background for compliance, Challenges and Values in compliance, Compliance model and tools and many more GRC responses will be presented

Jakob Hermansen, Senior Manager, KPMG

- **Unconditional Compliance at Siemens**

Strict adherence to external and internal regulatory Governance and Compliance requirements, guide all actions at Siemens. Siemens has set up their global Compliance Program to encourage this awareness and is dedicated to fulfill UN Compact and the World Bank compliance fundamentals. The Compliance program at Siemens remains focused on Anti-Corruption and Anti-Trust. This case focuses on how compliance themes are embedded in the internal controls and is an integral part of self assessment and management's disclosures. The case also provides a brief status of the global situation including a report on the settlements with U.S. and German authorities and the attachment of a monitor - Dr. Theo Waigel.

Finn Baagøe Hansen, Regional Compliance Officer – Siemens

DAY 2: Afternoon Session 14:00-17:30

GRC issues in a down economy. Updated International and EU guidelines on Accounting and Finance, Ethics, Corruption, Executive Compensation, Committees etc

- **Addressing the Effectiveness of Compliance and Ethics Programs**

Explore ways to measure the program with a qualitative and quantitative measures and analysis. The role of auditing, monitoring and reporting, practical considerations, lessons learned will be covered during this session

Kersi F. Porbunderwalla, Managing Partner - GRCControllers

- **Current International Anti-Bribery, AML and Corruption Challenges**

Scenario-based exploration of key issues in critical European countries? Fraud, can't be ignored in a down economy. There is an added focus on internal investigations and discovery processes

Jens Bertheisen, Partner, Global Advice Network

- **The Future of Executive Compensation Schemes**

Free Market pundit's advocate that efforts to control compensation will be counterproductive. How to reinforce Expertise and Independence back in the Compensation Committees

Dr. Deb Cernauskas, Ph.D & Dr. Anthony Tarantino, Ph.D

- **Designing, implementing and operating an ERM Program**

When embarking on an ERM program, include how to conduct enterprise risk assessments, engage business unit and functional representatives, utilize and report on the data collected in an assessment and advise your board of directors on their ERM role

Frank Hailstones, CA CPFA ACA IIA

- **Current Accounting and Finance Developments**

Get a firsthand knowledge on the latest in FASB, IASB, and IFRS developments, focusing on your questions and the issues of greatest concern. This session will provide an update on latest developments on critical accounting and finance developments including an update on fair-value accounting for all entities. Discuss the initial lessons learned by companies making the move from GAAP to IFRS

Jan Fedders, State Authorised Public Accountant, PwC

MAIN SPONSORS

Microsoft

netcompany
IT and business consulting

KPMG

RESOURCES
GLOBAL PROFESSIONALS

SAP

TONBELLER
Software ■ Consulting

MEDIA PARTNERS

COMPUTERWORLD

**SOCIETY OF CORPORATE
COMPLIANCE AND ETHICS**

IN CO-OPERATION

GARP
GLOBAL ASSOCIATION OF RISK PROFESSIONALS

Conference organiser and secretariat

C^{?=!}NTROLLERS

Hvidegårdsparken 14,
DK-2800 Kgs. Lyngby.
Tel. +45 2121 0616.
Email info@eurosox.dk

Prices and Conditions:

The conference participation price is flexible. You can join each of the 4 modules separately. The first module costs 2500, 00 dkk and 1500, 00 for each additional half day module. The price for the 2 day conference is 6500, 00. The price for 1 day is 4000, 00 dkk.

The company can also choose to send different employees to different modules. E.g. The CFO attends the Risk module while the IT Manager attends the IT and Information Security module. All participants are invited to attend the Grand Finale with major elements of "surprise".

Bank Details for payment:

Den Danske Bank

Account Number: - 1471.4768155348
Registration number: 1471 Lyngby Afdeling
IBAN kontonummer: Dk7130004768155348
SWIFT: DABADKKK

If you are paying by check please send it to:
Controllers Hvidegaardsparken 14,
2800 Kgs. Lyngby, Denmark

Register online 
www.copenhagencompliance.com/register.htm
and ask for invoice

FOR MORE DETAILS VISIT
www.copenhagencompliance.com