

### Agenda at a Glance...

- Day 1** Strategic Approach to Fighting Corruption: Landscapes, Trends, Business Ethics & Governance  
**Day 2** Detection, Investigation, Prevention & Litigation of Fraud, Corruption & Financial Crime
- Workshop 1 - Alternative Investigations: They Don't Need To Cost That Much
  - Workshop 2 - Fraud Riskability
  - Roundtable 1 - Fraud Litigation: Key Legal Issues In Managing Your Case
  - Roundtable 2 - Illicit Trade, Counterfeit & Assessing Business Risk in Difficult Regions
- Day 3** Catastrophic Fraud Risk & Reputation, Hotlines & Fraud Forensics  
 NEW - Fraud & Anti-Corruption Thought-Leadership Roundtable

# 5th Annual Fraud & Corruption

## Summit 2011

Turning off the Taps to Fraud, Corruption & Financial Crime in Business & Government

6th - 8th April 2011, Radisson Blu Royal Hotel - Copenhagen

### Significant achievements, challenges, keynotes & dynamic discussion from...

- Allen & Overy LLP
- ArcelorMittal, The Netherlands
- Barclays Group
- Bank of England
- Brakes Group, UK & France
- Carlsberg Breweries A/S
- Coloplast A/S, Denmark
- Council of British Chambers of Commerce in Europe, Nordic Region
- Danish Commerce & Companies Agency
- Dell Corporation Ltd
- Electrolux, Sweden
- Home Office, UK
- International Institute of Anti-Fraud Forensic Auditing, Panama
- Kalahari Energy, Botswana
- Microsoft Audit Group, India
- Europol
- Ministry of Foreign Affairs, Denmark
- National Offender Management Service, Ministry of Justice, UK
- Nordic Federation of Public Accountants, Denmark
- Norwegian Military Defense College, Norway
- Novo Nordisk, Denmark
- Siberian Coal Energy Company, Russia
- Siemens A/S, Denmark
- SKF, Sweden
- Statoil ASA
- The Confederation of Danish Industry, Denmark
- The Swedish National Financial Management Authority
- TNT Express
- Total E&P
- UNESCO Internal Investigations Unit
- University of Iceland
- World Bank, USA

### Global Insights...

Europe, USA, Russia, Latin America, Africa, Asia

### Testimonials from recent Fraud & Corruption Summits...

- ✓ "An interesting journey through Fraud & Corruption led by experienced colleagues" - **Head of Legal Services, European Patent Office, Germany**
- ✓ "The conference offers participants an invaluable chance to learn, show, tell & share knowledge & experiences on a complex subject from their hearts" - **Director, Kenya Anti-Corruption Commission**
- ✓ "Fantastic insight into challenges & opportunities from a range of distinguished & highly qualified speakers & participants" - **Head of Global Director of Investigations, AstraZeneca**
- ✓ "My first visit to the F&C summit - a great opportunity to meet with colleagues from all over the world & share experiences" - **Lead Financial Auditor, ADP, Belgium**

Sponsored by

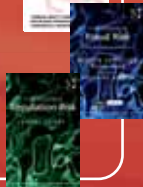


### Register by 28th February 2011 and receive 3 free books;

The Anatomy of Fraud and Corruption  
Organizational Causes and Remedies  
*Tomas Brytting, Richard Minogue, and Veronica Morino*



A Short Guide to Fraud Risk Fraud Resistance and Detection  
*Martin Samociuk and Nigel Iyer, Edited by Helenne Doody*



A Short Guide to Reputation Risk  
*Garry Honey*

## WHO WANTS TO BE A FRAUDLANAIRE

Not to be missed - New Interactive Game Show  
The ultimate educational social evening - a quiz show designed to test your fraud & corruption skills & knowledge. Are you willing to risk it all for the big prize?

Written by Nigel Iyer, Co-Hosted by Nigel Iyer & Simon Scales.  
"Brings fraud to life in a most dramatic way!"

Ros Wright QC, Chairperson, Fraud Advisory Panel  
(quote from recent "Greed" Dinner at Fraud & Corruption Summit 2009).

Register now at [mis@mistieurope.com](mailto:mis@mistieurope.com), [www.mistieurope.com/fraud](http://www.mistieurope.com/fraud), Tel: +44 (0)20 7779 7208

Partner - Denmark Publishing Partner Media Partner

Supporting Associations

# 5th Annual Fraud & Corruption Summit

## 6th - 8th April 2011, Radisson Blu Royal Hotel - Copenhagen

### Turning off the Taps to Fraud, Corruption & Financial Crime in Business & Government



MIS Training Institute & Copenhagen Compliance have the honour to invite you & colleagues to attend the 5th Annual Fraud & Corruption Summit, taking place in Copenhagen, 6th - 8th April 2011.

A truly international summit with a global speaker panel spanning Europe, Africa, Middle East, Latin America, USA & Asia! How are organisations raising their game when it comes to anti-corruption, anti-bribery, cracking down on fraudulent activities & strengthening corporate governance & leadership priorities? Hear 'first of a kind' achievements first-hand from people who are actually managing successful & innovative projects in the fraud & corruption arena.

You are invited to join fellow Directors of Internal Audit, Security, Investigations, Forensics, Finance, Oversight, Fraud Risk, Corporate Social Responsibility & Compliance from business & government organisations from around the world to discuss the practical & very real current challenges of managing corruption, fraud & financial crime risk. MIS Training's Fraud & Corruption Summits are held globally enjoying support & participation from the business community, government departments, commissions & the international community working in the landscape.

#### 10 Ways to Justify Your Attendance at the 5th Annual Fraud & Corruption Summit - Copenhagen, April 2011...

**1. NEW - Turn business-driven CSR & sustainable auditing towards fighting corruption: hear experiences from Denmark, currently rated as the 'cleanest country in the world' by anti-corruption Indices...**

- Government Centre for CSR, Danish Commerce and Companies Agency; Ministry of Foreign Affairs, Denmark; Nordic Federation of Public Accountants, Denmark; The Council of British Chambers of Commerce in Europe (COBCOE) Nordic Region; The Confederation of Danish Industry...

**2. NEW - Learn what a CEO expects from your fraud & corruption efforts & how to position your business case? Hear unique insights from Jim D. Best, Chairman & Chief Executive Officer, Kalahari Energy, Botswana!**

**3. NEW - Interactive Workshops & Inspirational Roundtables - embedded into the main summit programme - no pre & post event extra costs!**

**4. NEW - Fraud & Corruption Thought-Leadership Discussion Roundtable - held under The Chatham House Rule, the ultimate 'hands-on' benchmarking session with actionable 'take away' points!**

**5. Truly International! Over 13 Different Country Perspectives - spanning Europe, USA, Russia, Latin America, Africa, India, China...**

**6. Suspicious Transactions & Fighting Crime In Financial Institutions - Led by Mark Carawan, Chief Internal Auditor, Barclays Group**

**7. 15 NEW business case studies...**

- Allen & Overy LLP; ArcelorMittal, The Netherlands; Barclays Group; Brakes Group, UK & France; Coloplast A/S, Denmark; Dell Corporation Ltd;

Electrolux, Sweden; Kalahari Energy, Botswana; Microsoft Audit Group, India; Novo Nordisk, Denmark; Siberian Coal Energy Company, Russia; Siemens A/S, Denmark; SKF, Sweden; TNT Express; Total E&P

**8. 8 NEW government sector & industry bodies...**

- Home Office, UK; Ministry of Foreign Affairs, Denmark; National Offender Management Service, Ministry of Justice, UK; Nordic Federation of Public Accountants, Denmark; The Confederation of Danish Industry; The Swedish National Financial Management Authority; UNESCO Internal Investigations Unit; World Bank, USA

**9. Special keynotes, scenario planning, roundtables, case studies & expert advice...**

- Dr. Simon Singh, Best-Selling Author; Journalist, Radio Broadcaster, TV Producer and Director - Dr. Singh will give some examples that illustrate how our intuition often misleads us, demonstrating how mathematics & critical thinking can help in your anti-corruption & fraud prevention efforts!

- Mr. Juan Ivan Rogers-Harper, Founder & President, International Institute of Anti-Fraud Forensic Auditing (IIAFA), Republic of Panama - Anti-fraud forensic auditing, the practical use of polygraph & the Latin American perspective!

- Dr. Sally Leivesley, Senior Adviser to Governments & Companies on Catastrophic Risk, Continuity & Security leads scenario planning on catastrophic risk of fraud & corruption (London 2012, Wikileaks & more...)

**10. Gain 22 NABSA-Accredited CPE Points & Certificate & Make Invaluable New Contacts From Across The World - thematic networking receptions, lunches, dinners & local visits in Copenhagen are an integral part of the event!**

#### International Speaker Panel Spanning Over 13 Different Countries...

- Ms. Anne Ehrenreich, Chief Adviser and Team Leader in the Africa Department, Ministry of Foreign Affairs, Denmark
- Ms. Anne-Marie Øgren, Head of CHU-FMC, The Swedish National Financial Management Authority, Sweden
- Ms. Christine Jøker Nissen, Advisor - International Market Policy and the UN Global Compact, The Confederation of Danish Industry (Dansk Industri), Denmark
- Mr. Daniel Hanner, Director of the Corporate Internal Audit Department, Electrolux, Sweden
- Mr. Don Randall MBE, Head of Security, Bank of England
- Mr. Emmanuel Pascal, Audit Manager, Brakes Group, France
- Mr. Finn Baagøe Hansen, Regional Compliance Officer, Siemens A/S, Denmark
- Mr. Garry Honey, Author of 'A Short Guide to Reputation Risk' & Teaching Fellow at the Centre for Corporate Reputation, Saïd Business School, Oxford University, UK
- Mr. Gaurav Ajmani, Investigations Senior Manager, Financial Integrity Unit, Microsoft Audit Group, Microsoft Corporation (India) Pvt. Ltd, India
- Dr. Henrik Syse, Senior Research Fellow, Peace Research Institute Oslo (PRIO), Editor, Journal of Military Ethics Ass. & Professor, Norwegian Military Defense College, Norway
- Mr. Ihab Ahmed, Global Audit & Transformation Director, Dell Corporation Ltd
- Mr. Ivor Beazley, Head of Governance and Anti-Corruption (Operations Policy and Country Services) & Chair of GAC Committee, World Bank, USA
- Mr. Jens Røder, CEO & Secretary General, Nordic Federation of Public Accountants, Denmark
- Mr. Jeppe Kromann Haarsted, Corporate Responsibility Manager - Corporate Communications, Coloplast A/S, Denmark
- Mr. Jim Crawford, Head of Internal Audit, Total E&P, UK
- Mr. Jim D. Best, Chairman & Chief Executive Officer, Kalahari Energy, Botswana
- Ms. Joyce Drummond-Hill, Head of Audit and Corporate Assurance, National Offender Management Service, an Agency of the Ministry of Justice (including Prisons & Probation), UK
- Mr. Juan Ivan Rogers-Harper, Founder & President, International Institute of Anti-Fraud Forensic Auditing (IIAFA), Republic of Panama
- Mr. Kersi F. Porbunderwalla, Managing Partner, GRCControllers, Denmark
- Mr. Laszlo Salgó, Assistant Director Projects, Operations Department, Europol
- Mr. Malcolm Zack, Audit Director, Brakes Group, UK
- Mr. Mariano A. Davies, Chairman, The Council of British Chambers of Commerce in Europe (COBCOE) Nordic Region, President, British Chamber of Commerce in Denmark
- Mr. Mark Carawan, Chief Internal Auditor, Barclays Group
- Mr. Michael Andersson, Audit Director, Group Finance, SKF, Sweden
- Ms. Mona Vaswani, Litigation Partner and Head of Corporate Fraud Group, Allen & Overy LLP
- Mr. Nigel Iyer, Director, Septia Group
- Lady Olga Maitland, CEO, Money Transfer International & Special Adviser, President of Equatorial Guinea
- Mr. Roar Frøystad, Chief Auditor - Corporate Audit Europe, Africa and Asia, Statoil ASA
- Mr. Patrice Sam, Investigator, UNESCO Internal Investigations Unit, France
- Mr. W Peter Tickner, Director, Peter Tickner Associates Ltd (Recently Head of Internal Audit, Metropolitan Police), UK
- Dr. Sally Leivesley, Managing Director, Newrisk Limited London (Senior Adviser to Governments & Companies on Catastrophic Risk, Continuity & Security)
- Mr. Sergey Martynov, Chief Audit Executive, Siberian Coal Energy Company, Russia
- Mr. Simon Scales, Director Global Investigations, TNT Express, UK
- Mr. Simon Singh, Best-Selling Author: Journalist, Radio Broadcaster, TV Producer and Director
- Ms. Suzanne Orr, Head of Internal Audit, Home Office, UK
- Professor Vilhjalmur Arnason, Department of Philosophy & Chair of the Centre for Ethics, University of Iceland
- Ms. Vibeke Aggerholm, Vice President, Internal Audit, Carlsberg Breweries A/S, Denmark
- Mr. Zahid Dohadwala, General Manager, Internal Assurance - Global Forensic Services & Corporate Audit, ArcelorMittal, The Netherlands
- Ms. Agnieszka Magdalena Frackiewicz, Internal Audit Manager, Novo Nordisk
- Mr. Tobias Brun Hansen, Financial Assurance, Novo Nordisk
- Mr. Henrik Frössling, Global Financial Risk Manager Audit, Volvo 3P, Sweden
- Mr. Sterl Greenhalgh, Partner in the Forensic Investigation Services (FIS) Department, Grant Thornton UK LLP

#### Dedicated Networking Schedule: Build Trust-Based Business Relationships in Copenhagen!

Tuesday 5th April - Welcome Drinks at the Hotel - break the ice before the event starts

Wednesday 6th April - NEW - Educational Quiz Show "Who Wants to be a Fraudulanaire?"

Thursday 7th April - Tour & Dinner in Copenhagen



#### Partners:

Partner - Denmark



Publishing Partner



Media Partner



#### Sponsored by:



Grant Thornton - is one of the world's leading organisations of independently owned and managed accounting and consulting firms. More than 2,600 partners provide clients with distinctive, high quality service in over 100 countries. The Fraud Insolvency Division (FinD) provides expert services relating to all forms of economic crime using forensic asset tracing. Our experienced multi-disciplinary teams include forensic accountants, insolvency, ex law enforcement, and computer forensics specialists. We offer solutions in fraud and corruption investigations: expert witness; recovery and tracing of misappropriated assets and funds; and proceeds of crime receivers. We work with a wide variety of institutional clients, corporates and governments. Our continued success is based on our dedication to the investigation, tracing and repatriation of assets together with our commitment to working with our clients to deliver solutions before, during and after the assets have been recovered. [www.grant-thornton.co.uk](http://www.grant-thornton.co.uk)

#### Supporting Associations:



**International Compliance Association (ICA)** - is the leading global provider of professional certificated qualifications & training in anti money laundering (AML), compliance & fraud/financial crime prevention. For novice and experienced practitioners alike, the ICA certificate & diploma courses are a benchmark of excellence. ICA's internationally recognised qualifications enhance the knowledge & skills of individuals, improve business practice & minimise risk. [www.int-comp.org](http://www.int-comp.org)

**European Corporate Security Association (ECSA)** - is an association of professionals from the public, private & academic sector who are active in, or contribute to the security, the continuity or the resilience of corporations, organizations & institutions. [www.ecsa-eu.org](http://www.ecsa-eu.org)

**Information Systems Audit and Control Association (ISACA) - Denmark** - with membership of more than 65,000 strong worldwide, ISACA is characterised by its diversity. Members live & work in more than 140 countries & cover a variety of professional IT-related positions-to name just a few, IS auditor, consultant, educator, IS security professional, regulator, chief information officer & internal auditor. ISACA has more than 170 chapters established in over 70 countries worldwide, & those chapters provide members education, resource sharing, advocacy, professional networking & a host of other benefits on a local level. [www.isaca.org](http://www.isaca.org)

**Centre for Counter Fraud Studies (CCFS)** - was formed in 2009 & is one of the specialist research centres of the Institute of Criminal Justice Studies. It has been founded to accommodate the growing interest in counter fraud that has occurred within the Institute over the last ten years, providing a clear focus for research, knowledge transfer and educational provision to the counter fraud community. [www.port.ac.uk](http://www.port.ac.uk)

**Information Security Society Switzerland (ISSS)** - more than 700 Swiss Information Security Professionals extend their personal network through the Information Security Society Switzerland ISSS, which was founded in 1993. ISSS is a non-profit association, which organizes security events & offers rebates on security literature and partner security events. In the member-only online forum & in special interest groups initiated by the members, security professionals get access to insider news & share personal experiences. [www.issss.ch](http://www.issss.ch)

**Information Systems Security Association (ISSA) - Egypt** - is a not-for-profit international organisation of information security professionals & practitioners. It provides education forums, publications & peer interaction opportunities that enhance the knowledge, skill & professional growth of its members. [www.issa.org](http://www.issa.org)

**The Institute of Information Security Professionals** - is an independent not-for-profit body governed by its members, and its principal activity is to advance the professionalism of information security practitioners and thereby the professionalism of the industry as a whole. It speaks with an authoritative and independent voice and its competency based memberships, Associate (A.Inst.ISP) and Full Member (M.Inst.ISP), are becoming widely recognised both inside government and the wider profession. [www.instisp.org](http://www.instisp.org)



# 5th Annual Fraud & Corruption summit

6th - 8th April 2011, Radisson Blu Royal Hotel - Copenhagen

Turning off the Taps to Fraud, Corruption & Financial Crime in Business & Government



Day One: Wednesday 6th April 2011

Strategic Approach to Fighting Corruption: Landscapes, Trends, Business Ethics & Governance

## 07:30 REGISTRATION & COFFEE

### 08:00 CHAIRMAN'S OPENING

#### 08:05 THE DANISH CSR PLAN: TURNING WORLD-CLASS SUSTAINABILITY REPORTING TO FIGHT CORRUPTION **OPENING**

Mr. Mariano A. Davies, *Chairman, The Council of British Chambers of Commerce in Europe (COBCOE) Nordic Region, President, British Chamber of Commerce in Denmark*

#### 08:20 GOVERNANCE & ANTI-CORRUPTION: APPLICATION ON WORLD BANK PROJECTS **KEYNOTE**

Mr. Ivor Beazley, *Head of Governance and Anti-Corruption (Operations Policy and Country Services) & Chair of GAC Committee, World Bank*

#### 09:00 MANAGING FRAUD RISK IN BARCLAYS GLOBALLY: WHERE ARE THE EMERGING HIGH RISK AREAS? **KEY CASE STUDY**

Mr. Mark Carawan, *Chief Internal Auditor, Barclays Group*

#### 09:50 **KEYNOTE BY EUROPOL**

Mr. Laszlo Salgó, *Assistant Director Projects, Operations Department, Europol*

## 10:20 - 10:30 JOINT Q&A WITH AUDIENCE

## 10:30 MORNING COFFEE BREAK

#### 11:10 WHAT DOES A CEO EXPECT FROM YOUR FRAUD & CORRUPTION EFFORTS? **KEYNOTE THE CEO VIEW**

Mr. Jim D. Best, *Chairman & Chief Executive Officer, Kalahari Energy, Botswana*

#### 11:30 INNOVATIVE WAYS TO ROOT OUT FRAUD & CORRUPTION IN BUSINESS & GOVERNMENT THROUGH STRONG CONTROLS, ETHICS & GOVERNANCE **PANEL**

- How can we strengthen risk management & corporate governance structure in our organisations?
- Internal controls for control financial activities
- Should senior political & public figures submit to 'lifestyle audits' & make the results public?
- Public-Private partnerships: regional anti-corruption & crime commissions - what needs to happen?
- Key international developments in anti-bribery & anti-corruption that are impacting public & private institutions
- How we try to prevent unethical behaviour - innovative solutions
- Implementing a strong corporate integrity policy & following it!

**Chaired by:** Mr. Ivor Beazley, *Head of Governance and Anti-Corruption (Operations Policy and Country Services) & Chair of GAC Committee, World Bank, USA*

**Panellists:** Mr. Jim D. Best, *Chairman & Chief Executive Officer, Kalahari Energy, Botswana*; Lady Olga Maitland, *CEO, Money Transfer International & Special Adviser, President of Equatorial Guinea*; Mr. Michael Andersson, *Audit Director, Group Finance, SKF, Sweden*; Mr. Daniel Hanner, *Director of the Corporate Internal Audit Department, Electrolux, Sweden*

#### 12:00 WHISTLEBLOWER HOTLINES: UNDERSTANDING CULTURAL DIFFERENCES & ADDRESSING CONCERNS IN A MULTICULTURAL CONTEXT **KEY CASE STUDY**

- Understanding & perception of whistleblowers around the world
- Legal and registration requirements in key countries
- Working with workers' councils
- Communicating whistleblower hotlines & addressing concerns at the outset
- Investigation guidelines taking into consideration local context

Mr. Jeppe Kromann Haarsted, *Corporate Responsibility Manager - Corporate Communications, Coloplast A/S, Denmark*

## 12:30 LUNCH

#### 13:30 GOOD LUCK, BAD LUCK, RISK & PROBABILITY **SPECIAL KEYNOTE**

Are you lucky or just obeying the laws of chance? British science writer Simon Singh looks at the mathematics behind various situations involving luck, risk & coincidence, from the casino to the hospital, from the courtroom to the supernatural. Dr. Singh will give some examples that illustrate how our intuition often misleads us, & he will demonstrate how mathematics & critical thinking can help us.

Mr. Simon Singh, *Best-Selling Author; Journalist, Radio Broadcaster, TV Producer and Director*

Simon Singh is author of *The Code Book*, about cryptography; *Big Bang*, a history of cosmology; and *Fermat's Enigma*, which followed his Emmy-nominated Nova documentary about Fermat's Last Theorem. His most recent book is *Trick or Treatment: The Undeniable Facts about Alternative Medicine*. He is also a broadcaster and has presented programs on BBC radio and television, and will share his knack of bringing relevant but novel ideas to life.

#### 14:20 ETHICS IN LIFE **MOTIVATIONAL KEYNOTE SPEECH**

Dr. Henrik Syse, *Senior Research Fellow, Peace Research Institute Oslo (PRIO), Editor, Journal of Military Ethics Ass. & Professor, Norwegian Military Defense College, Norway*

#### 14:50 DOING BUSINESS WITH PUBLIC ENTITIES: SPOTLIGHT ON ANTI-CORRUPTION, FCPA & COMPLIANCE PRACTICES BY GLOBAL BUSINESSES **KEY CASE STUDY**

Mr. Ihab Ahmed, *Global Audit & Transformation Director, Dell Corporation Ltd*

## 15:20 AFTERNOON TEA BREAK

#### 15:40 WHAT LIES BENEATH: THE SATYAM FRAUD **KEY CASE STUDY**

Mr. Gaurav Ajmani, *Investigations Senior Manager, Financial Integrity Unit, Microsoft Audit Group, Microsoft Corporation (India) Pvt. Ltd, India*

#### 16:10 ETHICS AT ELECTROLUX: HOW TO JOIN FORCES IN FIGHTING FRAUD & OTHER ETHICAL MISCONDUCT **KEY CASE STUDY**

- What is ethics (the Electrolux view)?
- Why should we spend money promoting ethical behaviour?
- Who is responsible for what?
- How do we promote ethical behaviour & react to misconduct?
  - Policies and guidelines
  - The Electrolux Hotline
  - Fraud response plan & fraud investigations

Mr. Daniel Hanner, *Director of the Corporate Internal Audit Department, Electrolux, Sweden*

#### 16:40 HOW DO WE PRACTICALLY DEAL WITH OVERSEAS FACILITATION PAYMENTS WITH BRIBERY & ASSURING TRANSPARENCY & SECURITY IN A GLOBAL SUPPLY CHAIN **PANEL**

- How do we practically deal with overseas facilitation payments with bribery & assuring transparency & security in a global supply chain

**Panellists:** Mr. Emmanuel Pascal, *Audit Manager, Brakes Group, France*; Mr. Zahid Dohadwala, *General Manager, Internal Assurance - Global Forensic Services & Corporate Audit, ArcelorMittal, The Netherlands*; Mr. Michael Andersson, *Audit Director, Group Finance, SKF, Sweden*; Mr. Finn Baagøe Hansen, *Regional Compliance Officer, Siemens A/S, Denmark*; Ms. Vibeke Aggerholm, *Vice President, Internal Audit, Carlsberg Breweries A/S, Denmark*; Mr. Sterl Greenhalgh, *Partner in the Forensic Investigation Services (FIS) Department, Grant Thornton UK LLP*

## 17:15 CLOSE OF DAY ONE

# 5th Annual Fraud & Corruption summit

## 6th - 8th April 2011, Radisson Blu Royal Hotel - Copenhagen

### Turning off the Taps to Fraud, Corruption & Financial Crime in Business & Government

#### Day Two: Thursday 7th April 2011 Practical Detection, Investigation, Prevention & Litigation of Fraud, Corruption & Financial Crime

##### 07:45 COFFEE

##### 08:00 CHAIRMAN'S RE-OPENING

Mr. Simon Scales, *Director Global Investigations, TNT Express, UK*

##### 08:10 FIGHTING FRAUD & CORRUPTION IN REMITTANCES **KEYNOTE**

Lady Olga Maitland, *CEO, Money Transfer International, & Adviser to President of Equatorial New Guinea*

##### 08:40 DEVELOPING AN ANTI-CORRUPTION CULTURE IN INTERNATIONAL COMPANIES **PRACTICAL EXAMPLES**

- Fighting corruption from a Danish point of view
- Establishing whistleblowing systems in Denmark
- Fighting corruption in small and large companies
- Joint action as a solution

Ms. Christine Jøker Nissen, *Advisor - International Market Policy and the UN Global Compact, The Confederation of Danish Industry (Dansk Industri), Denmark*

##### 09:00 THE COLLAPSE OF A NATION? CAN WE ALL LEARN SOMETHING? **KEYNOTE**

Professor Vilhjálmur Árnason, *Chair of the Centre for Ethics, University of Iceland*

##### 09:30 MANAGING FRAUD & CORRUPTION RISK **KEY CASE STUDY**

Mr. Jim Crawford, *Head of Internal Audit, Total E&P, UK* & Ms. Caroline Waddicor, *Managing Director, Hibis Europe Limited*

##### 10:10 MORNING BREAK

##### 10:30 REPORTING SUSPICIOUS TRANSACTIONS, INSIDER FRAUD WITHIN THE FINANCIAL SECTOR **PANEL**

- Improving suspicious transaction reporting strategies
- How can one hide stolen money in the world's financial markets?
- What are the main constraints that hinder banks?
- How financial institutions can fight against fraudulent & corrupt customers
- Audit challenges on anti-money laundering & combating terrorist financing
- Preventing insider trading & market abuse

**Chaired by:** Mr. Mark Carawan, *Chief Internal Auditor, Barclays Group*

##### 11:00 HOW TO INTEGRATE AUDIT INTO YOUR FRAUD RISK STRATEGY & ASSURANCE FRAMEWORKS **KEY CASE STUDY**

Ms. Suzanne Orr, *Head of Internal Audit, Home Office, UK*

##### 11:30 ON THE MENU: - A FISHY TALE, STEAK, CAKE & THE INVISIBLE MAN **KEY CASE STUDY**

Malcolm outlines the control issues arising from fraud & theft incidents & the signs that auditors, management & fraud investigators can look for.

Mr. Malcolm Zack, *Audit Director, Brakes Group*

##### 12:00 LUNCH

##### 13:00 - 14:30 PLEASE SELECT YOUR PREFERRED **WORKSHOP**

##### WORKSHOP 1 & GROUP DISCUSSION

##### ALTERNATIVE INVESTIGATIONS: DO THEY REALLY NEED TO COST THAT MUCH? WHERE SHOULD THEY SIT?

**Facilitated by:** Mr. Nigel Iyer, *Director, Septia Group*; Mr. Simon Scales, *Director Global Investigations, TNT Express*; Mr. Emmanuel Pascal, *Audit Manager, Brakes Group, France*; Professor Vilhjálmur Árnason, *Chair of the Centre for Ethics, University of Iceland*

- Sick of the big fees for investigations charged by investigators & lawyers?
- Do we spend more money to get less back?
- Are you tired of cases which drag on...and on?
- Do we sometimes only catch the small fish?
- By mainly spending the money sorting on the frauds we see, are we missing a whole lot of things?

##### Is there another way?

We are sure you have your own view on these & many other similar questions related to investigations. Our expert panel (facilitated by Nigel, Simon & others will help bring out the best ideas for everyone attending to share, benefit from & take back). Case examples from the facilitators & open-floor discussion.

##### OR **WORKSHOP 2 & GROUP DISCUSSION**

##### FRAUD & CORRUPTION 'RISKABILITY'

**Led by:** Mr. Kersi F. Þorbunderwalla, *Managing Partner, GRCControllers & Founder, Copenhagen Compliance, Denmark*, Mr. Frank Hailstones, *Independent*; Mr. Henrik Frössling, *Global Financial Risk Manager, Volvo 3P, Sweden*; Ms. Agnieszka Magdalena Frackiewicz, *Internal Audit Manager, Novo Nordisk*; Mr. Tobias Brun Hansen, *Financial Assurancer, Novo Nordisk*  
Hear 'hands-on' practical approaches to fight fraud & corruption by taking a coordinated monitoring & controlling approach to managing the consequences. Benchmark fraud & corruption schemes in the control framework including:

- How to create a culture that recognizes the need of zero-tolerance course of action, on all fraud & corruption incidents
- How to ensure continued management commitment to the root causes
- How to leverage the guiding principles that promote a high level of awareness
- Cost & measures to prevent & detect fraud
- Riskability compliance to fraud & corruption mandates as a necessary cost of doing business

##### 14:30 CHANGE OVER OF BREAK OUT SESSIONS:

##### 14:30 - 15:30 PLEASE SELECT YOUR PREFERRED **ROUNDTABLE**

##### ROUNDTABLE 1

##### FRAUD LITIGATION: KEY LEGAL ISSUES IN MANAGING YOUR CASE

**Led by:** Ms. Mona Vaswani, *Litigation Partner and Head of Corporate Fraud Group, Allen & Overy LLP*

- Choosing your defendants
- Notifications
- Obtaining & carrying out search orders
- Managing the investigation
- The classic chronology of a fraud claim
- Jurisdictional issues
- Co-ordination with criminal proceedings
- Securing assets
- Accomplice liability
- Settlement & mediation

##### OR **ROUNDTABLE 2**

##### ANTI-CORRUPTION SYSTEMS IN A BIG CORPORATION & KEY FRAUD & CORRUPTION THREATS IN INVESTMENT & CONSTRUCTION PROCESSES IN RUSSIA **KEY CASE STUDY**

**Mr. Sergey Martynov, Chief Audit Executive, Siberian Coal Energy Company, Russia**

##### 15:30 AFTERNOON TEA BREAK

##### 15:50 CYBER SECURITY THREATS: PARTNERSHIP BETWEEN THE PUBLIC & PRIVATE SECTOR AGENCIES TO IDENTIFY, PREVENT, DETER & DETECT THE REALITY **KEYNOTE & AUDIENCE DISCUSSION**

Mr. Don Randall MBE, *Head of Security, Bank of England & Chairman of the 'Sister Banks', the City of London Crime Prevention Association and 'Project Griffin'*

##### 16:50 INTEGRATING FRAUD, AUDIT, RISK MANAGEMENT & ASSURANCE TO PROVIDE A BETTER SERVICE TO MANAGEMENT **KEY CASE STUDY**

- Fraud risk assessments - & where they sit within the overall risk management framework
- Implementing a co-ordinated internal approach
- Developing & implementing anti-fraud strategies
- Skills & resource needs
- Best practice for raising fraud awareness
- Effective reporting & assurance framework
- How an audit committee reacts to an internal investigation: assessing conflicts of interest

Ms. Joyce Drummond-Hill, *Head of Audit and Corporate Assurance, National Offender Management Service, an Agency of the Ministry of Justice (including Prisons and Probation), UK*

##### 17:20 PROCUREMENT FRAUD & FRAUD IN EMERGING MARKETS **KEY CASE STUDY**

Mr. Zahid Dohadwala, *General Manager, Internal Assurance - Global Forensic Services & Corporate Audit, ArcelorMittal, The Netherlands*

##### 18:00 CLOSE OF DAY TWO

##### 18:30 - 21:00 DINNER & LOCAL VISIT IN COPENHAGEN

## Day Three: Friday 8th April 2011 Catastrophic Fraud Risk & Reputation, Hotlines & Fraud Forensics

08:00 COFFEE

08:30 CHAIRMAN'S RE-OPENING

08:40 HOW TO BE A SUCCESSFUL FRAUDITOR **KEY CASE STUDY**

Mr. W. Peter Tickner, *Director, Peter Tickner Associates Ltd (Recently Head of Internal Audit, Metropolitan Police), UK*

09:10 HANDLING FRAUD RISK IN COMMODITY TRADING **KEY CASE STUDY**

Mr. Roar Frøystad, *Chief Auditor - Corporate Audit Europe, Africa and Asia, Statoil ASA*

09:40 FRAUD, CORRUPTION & YOUR REPUTATION **KEYNOTE**

Fraudulent activity & corrupt practices are not just unethical or illegal, they are also unprofitable. Trust will be lost among key stakeholders: customers, employees, suppliers & investors. Their trust in you, & perception of your character, are valuable yet fragile commodities. Past behaviour is an indicator future intent, so tolerance of fraud & corruption will be a warning to stakeholders & thus benefit only your competitors. Both fraud & corruption risk are ultimately risk to reputation as well.

- How fraud & corruption impact reputation - with case studies
- Why reputation protection is better than crisis management
- How to create an effective risk strategy covering fraud & reputation
- Why reputation matters to customers, employees, suppliers & investors
- How to design a risk audit for fraud & reputation

Mr. Garry Honey, *Author of 'A Short Guide to Reputation Risk' & Teaching Fellow at the Centre for Corporate Reputation, Saïd Business School, Oxford University*

10:20 DUE PROCESS, CONFIDENTIALITY & WHISTLEBLOWER PROTECTION IN INTERNAL INVESTIGATIONS **KEY CASE STUDY**

Mr. Patrice Sam, *Investigator, UNESCO Internal Investigations Unit, France*

10:40 MORNING COFFEE BREAK

11:00 TOP 10 FEATURES TO CREATE AN EFFECTIVE MULTI-CULTURAL ETHICS POLICIES & SETTING UP HOTLINES TO STAVE OFF FINANCIAL IRREGULARITIES **PANEL**

- What is the influence of launching fraud hotlines on the organisational culture?
- A regional or international response to governance & ethics?
- Boards of directors - the intrusion of anti-corporate governance issues
- Balance between disclosure, compliance & performance to stave off financial irregularities
- Would you withdraw from places in the world where the risk of corruption is deemed too high?
- Role of professional bodies in corporate governance
- Dealing with whistleblowers, other informants & witnesses

**Chaired by:** Mr. Mariano A. Davies, *Chairman, The Council of British Chambers of Commerce in Europe (COBCOE) Nordic Region, President, British Chamber of Commerce in Denmark*

**Panellists:** Mr. Jeppe Kromann Haarsted, *Corporate Responsibility Manager - Corporate Communications, Coloplast A/S & Member, Transparency International Denmark*; Mr. W. Peter Tickner, *Director, Peter Tickner Associates Ltd (Recently Head of Internal Audit, Metropolitan Police), UK*; Mr. Sergey Martynov, *Chief Audit Executive, Siberian Coal Energy Company, Russia* & Mr. Finn Baagøe Hansen, *Regional Compliance Officer, Siemens A/S, Denmark*

11:40 ANTI-FRAUD FORENSIC AUDITING & THE PRACTICAL USE OF POLYGRAPH: THE LATIN AMERICAN PERSPECTIVE **SPECIAL KEYNOTE**

- Integrating the prevention & combat of fraud, corruption & money laundering
- Adding value from recoveries
- Incident response, evidence handling
- Corporate manslaughter & corporate criminal liability
- Uncertainty in insolvency laws & the court system
- Practical use of polygraph examinations
- Preserving data: what is possible
- e-discovery, fraud & corruption
- Tracing assets in the region
- Investigations into cartels & the criminal penalties companies can face

Mr. Juan Ivan Rogers-Harper, *Founder & President, International Institute of Anti-Fraud Forensic Auditing (IIAFA), Republic of Panama*

12:20 LUNCH

13:20 STRATEGIC APPROACHES TO CATASTROPHIC RISK OF FRAUD & CORRUPTION: NEW LESSONS FROM LONDON 2012 & OTHER CASE STUDIES INCLUDING WIKILEAKS

'Strategic partnerships & an understanding of catastrophic risk auditing are essential components in fraud & corruption mitigation. The imminent danger of cyber crime is a driver for reforms in policing & security risk management'.

- Developing audit principles for catastrophic risk reduction
- Strategic dominance of cyber crime & future security policies
- Significance of audit & assurance processes including internal audit
- Reputation loss & the media - considering the case of WikiLeaks
- Case Study London 2012 - top risk strategies - fraud & corruption; transparent information flows; procurement as an example; Stakeholder issues
- Case Study of an emergency service reform - corruption at the local level; fraud & procurement; budget impacts; risk reduction through major reform; governance deliverables
- Understanding organised crime & terrorism
- Overview EU threats 2011
- Partnerships in strategic risk reduction - discussion

Dr Sally Leivesley, *Managing Director, Newrisk Limited London (Senior Adviser to Governments & Companies on Catastrophic Risk, Continuity & Security)*

14:20 SIEMENS COMPLIANCE PROGRAM: TRACK RECORD & CHALLENGES **KEY CASE STUDY**

Mr. Finn Baagøe Hansen, *Regional Compliance Officer, Siemens A/S, Denmark*

15:00 AFTERNOON TEA BREAK

## 15.30 - 17.00 Fraud & Anti-Corruption Thought-Leadership Roundtable

### HELD UNDER THE CHATHAM HOUSE RULE

**Facilitated by:** Mr. Jens Røder, *CEO & Secretary General, Nordic Federation of Public Accountants, Denmark*; Ms. Joyce Drummond-Hill, *Head of Audit and Corporate Assurance, National Offender Management Service, an Agency of the Ministry of Justice (including Prisons and Probation), UK*; Mr. Ihab Ahmed, *Global Audit & Transformation Director, Dell Corporation Ltd, UK*; Mr. Gaurav Ajmani, *Investigations Senior Manager, Financial Integrity Unit, Microsoft Audit Group, Microsoft Corporation (India) Pvt. Ltd.; India*; Mr. Henrik Frössling, *Global Financial Risk Manager, Volvo 3P, Sweden*

The Fraud & Corruption Thought-Leadership Roundtable is a discussion 'think-tank' for thought-leaders & practitioners in the day to day fight against fraud & corruption - an unrivalled benchmarking forum. It is the chance to gain inspiration & insights from experts & peers from around the world - held under the Chatham House Rule. Heads of audit, anti-corruption & fraud prevention from all corners of the world will discuss key challenges & strategy with peers to develop team expertise & professional skills, as well as to advance debate & approaches for the fraud prevention & anti corruption community at large. The focus is on roundtable discussions & group work, with sessions facilitated by established practitioners. You will meet & benchmark with professionals who face a similar

set of challenges as you in a 'hands on', proactive & inspirational environment. Held under the Chatham House Rule - No press are permitted. The entire session will be conducted as a 'closed doors' session, allowing participants to discuss real life challenges & benchmark proven & possible approaches. Your important input into key topics for discussion on the day - the final agenda will be determined by the input of participants beforehand, allowing participants to fully contribute to the honest sharing of ideas.

Interactive layout - the seating will be in true roundtable style. No PowerPoint slides will be used (flipcharts only) to allow for a more intimate, progressive & open exchange. Topics including:

17:00 Close of Day

- HOW SHOULD THE AUDIT COMMITTEE FIT INTO THE WHOLE ANTI-CORRUPTION & FRAUD RISK STRUCTURE
- RISK BASED APPROACH FOR FRAUD, CORRUPTION & FINANCIAL CRIME
- FRAUD AWARENESS
- ONLINE FRAUD RISK: HOW ARE WE TACKLING THE LATEST THREATS?



# 5th Annual Fraud & Corruption Summit

## 6th - 8th April 2011, Radisson Blu Royal Hotel - Copenhagen

### Turning off the Taps to Fraud, Corruption & Financial Crime in Business & Government

#### 5 Easy Ways to Register

**Tel:** +44 (0)20 7779 7208      **Email:** [mis@mistieurope.com](mailto:mis@mistieurope.com)  
**Fax:** +44 (0)20 7779 8293      **Web:** [www.mistieurope.com/fraud](http://www.mistieurope.com/fraud)  
**Mail:** Guy Cooper, MIS Training, Nestor House, Playhouse Yard, London EC4V 5EX United Kingdom

#### Customer Information

(please print or attach business card)

Delegate 1 Name	Position
Delegate 2 Name	Position
Delegate 3 Name	Position
Organisation	
E-Mail Address (Required)	
Address	
Country	Postcode
Telephone	Fax

The information you provide will be safeguarded by the Euromoney Institutional Investor Plc. group whose subsidiaries may use it to keep you informed of relevant products and services. We occasionally allow reputable companies outside the Euromoney Institutional Investor Plc. group to contact you with details of products that may be of interest to you. As an international group we may transfer your data on a global basis for the purposes indicated above. If you object to contact by telephone , fax , or email  please tick the relevant box. If you do not want us to share your information with other reputable companies please tick this box

#### Payment Method

**Pay Online at [www.mistieurope.com](http://www.mistieurope.com)** (all fees must be paid in advance of the event)

Cheque enclosed       Please invoice my company PO#  
 (payable to MIS Training)

Credit cards can be taken over the phone or online.  
 Please call +44 (0)20 7779 7208 or visit [www.mistieurope.com/fraud](http://www.mistieurope.com/fraud)

Please include billing address if different from address given above

Please note that in completing this booking you undertake to adhere to the cancellation policy and payment terms.

Signature	Date
Approving Manager	Position

#### Please send me information on:

- Fraud & Corruption Africa Summit**, 14 – 18 March 2011, Vineyard Hotel & Spa, Cape Town - South Africa
- 8th Annual CISO Summit & Roundtable**, 8 – 10 June 2011 (& Cloud Security Roundtable, 7 June), Hotel Capannelle, Rome – Italy
- 6th Annual Audit, Risk & Governance Africa Conference**, 25 – 29 July 2011, Mauritius
- 2nd Annual ICT Security Summit Africa**, 19 – 23 September 2011, La Palm Royal Beach Hotel, Accra – Ghana
- 5th Annual Chief Security Officer Summit**, October 2011, location to be confirmed
- 3rd Annual Security Africa Summit**, December 2011, Cape Town – South Africa
- Latin America Fraud & Corruption Summit / Conferencia Latinoamericana: La Lucha Contra Fraudes y Corrupción**, Panama City – Republic of Panama, dates to be confirmed
- CISO Latin America Summit / Conferencia Latinoamericana de Responsables de Seguridad de la Información** – Rio de Janeiro - Brazil, dates to be confirmed

For further information on the above events, please contact Sara Hook, Director of Conferences, MIS Training Institute Tel: +44 (0)207 779 7200 or Email:

[shook@mistieurope.com](mailto:shook@mistieurope.com)

**Cancellation Policy:** Should a delegate be unable to attend, a substitute may attend in his or her place. A credit or refund, minus 10% administration charge, is available if written notification is received by 17th March 2011. Thereafter, no refunds will be given. MIS reserves the right to change or cancel this programme due to unforeseen circumstances. Founded in 1978, MIS Training Institute is the international leader in providing training & conferences to information security, audit, fraud & IT audit professionals. With offices in the USA, UK, & Asia, MIS is a division of Euromoney Institutional Investor Plc (FTSE250) and is part of the Daily Mail & General Trust (DMGT). [www.mistieurope.com](http://www.mistieurope.com). To Register Call +44 (0) 20 779 7208, Fax +44 (0) 20 7779 8293, Email: [mis@mistieurope.com](mailto:mis@mistieurope.com) or Visit [www.mistieurope.com/fraud](http://www.mistieurope.com/fraud).

When registering for this conference please quote: Sales

**Book by 28th February 2011 & Receive 3 Educational Books for FREE - Authors will be presenting at the Fraud & Corruption Summit 2011 so get your books signed onsite**

- A Short Guide to Reputation Risk, Garry Honey
- The Anatomy of Fraud and Corruption Organizational Causes and Remedies Tomas Brytting, Richard Minogue, and Veronica Morino
- A Short Guide to Fraud Risk Fraud Resistance and Detection Martin Samociuk and Nigel Iyer, Edited by Helene Doody



#### Registration Information

**The summit fees:**      **Fees:**  
 3-Day Summit      £1,995 (+ 25% Danish VAT)

#### The fee includes:

- Entry to event & exhibition • All lunches & daily refreshments
- Invitation to educational hosted quiz show "Who Wants to be a Fraudulanaire?"
- Invitation to all other official networking dinners, receptions & local tours (details to be confirmed)
- Certificates & accreditation for CPE points for Continued Education
- Official presentation materials on USB, leather portfolio & web-link to all updated presentations

#### NABSA Accredited Conference!

- Advanced Preparation None
- Training Type Group-Live



#### About the Venue & Accommodation

The 5th Annual Fraud & Corruption Summit 2011 will be held at:

**Radisson Blu Royal Hotel - Copenhagen**  
 Hammerichsgade1, Copenhagen, V DK-1611, Denmark  
 Telephone: +45 3815 6500 Fax: +45 3342 6300

[www.radissonblu.com/royalhotel-copenhagen](http://www.radissonblu.com/royalhotel-copenhagen)

#### About the Hotel:



Meticulously designed by famed Danish architect Arne Jacobsen, the Radisson Blu Royal Hotel is one of the top five-star hotels in Copenhagen, Denmark. Positioned within walking distance of the well-known Tivoli Gardens & popular Strøget shopping district, this sleek high-rise hotel features 260 elegant guest rooms & suites. These sophisticated rooms are graced with Scandinavian accents & desirable amenities such as Free high-speed Internet, in-room mini bar and bathrobes. This hotel also offers fine dining at two restaurants & a relaxing bar, as well a large fitness centre and state-of-the-art meeting facilities that can accommodate up to 300 guests.

#### Accommodation Reservations & Pricing:

MIS Training Institute has arranged the following discounted rates at the Radisson Blu Royal Hotel:

- **Compact Single Rooms DKK 995.00\*** - The room is 14 m2, the bed is 90 cm wide & the bathroom is with a shower.
- **Standard Single Rooms DKK 1095.00\*** - The room is 16m2, the bed is 120 cm wide & the bathroom is with a bathtub.
- **Standard Double Rooms DKK 1295.00\*** - The room is 18 m2, the bed could be king (180cm) or twin (2 x 90 cm) & with a large bathroom.

These rates include breakfast (served in Café Royal).

#### Please note:

- Delegates are responsible for the arrangement & payment of their own accommodation in Copenhagen
- To make a reservation please complete the booking form & send to Tanja Mathiassen on [Copenhagen@radissonblu.com](mailto:Copenhagen@radissonblu.com)  
 Tel: +45 38156530 Fax: +45 38156501.
- MIS Training is not liable or responsible for any hotel bookings. We cannot guarantee availability as limited rooms are available. We would advise booking as soon as possible.



Register now at [mis@mistieurope.com](mailto:mis@mistieurope.com), [www.mistieurope.com/fraud](http://www.mistieurope.com/fraud), Tel: +44 (0)20 7779 7208