



Copenhagen Compliance

comes to **Paris**

April 9, 2013, Radisson Blu, Charles De Gaulle Airport, Paris

The EU banking union is the first step in a long-term roadmap and is a key unit to avoid future systemic financial crisis.

The success of the EU Financial regulation program depends on the central role played by the European Central Bank. The European Financial Services industry needs the knowledge and guidelines for creating a modern legal framework for a revised regulatory financial compliance, and implementing a more engaged shareholders' and sustainable corporate governance.

The sum of the 3 conferences will provide the stakeholders with the knowledge and recommendations for implementing the financial regulation program and framework, including the integrated IT tools required to complete the processes and systems.



Conference On The Financial Regulation Program And The Framework Of The EU Banking Union

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The EU governing bodies have now reached a consensus for implementing the financial reforms that followed the credit and financial crisis throughout the EU. We need to fully understand what the supervision/oversight authorities in the EU are doing to bring the crisis to an end and get an objective analysis as well as a practical plan for how we should move forward.

The Financial Regulation Programme is the focal point of reference for the principles, procedures, framework, structure and organization to support the supervision for governing the formation of the EU Banking Union and the implementation of systems, processes, controls and IT tools for the financial regulation program.

The EU Council is moving towards a genuine Economic and Monetary Union. The first step is to create a number of financial regulations and a framework. The result will be that the sum of the components of financial regulation together will constitute the basis of a European Banking and Financial Union.

However the proposed reforms by the EU Commission provide a fairly straightforward answer on how the financial industry must run the Governance, Risk Management, Compliance and IT security processes and controls so that we can set the financial and regulatory systems back on track.

Therefore, the stakeholders in the financial services industry must understand and

accurately assess the consequences of the EU reforms for their business or organization. The timeframe is an issue, therefore you should start learning and understanding the process now. The deadlines are tough, and the complexities need undivided attention on how the key players will implement the new reforms and regulations without damaging the operations.

Financial Institutions continue to be at the center of the financial crisis, various other government institutions have bailed out failing banks, and supervision authorities are pressing for additional controls, governance risk management and compliance.

There are several action plans that need to be addressed. Therefore, we have planned three conferences that focus on disseminating the information and guidelines for creating a modern legal framework for a regulatory financial compliance, European company law and recommendations for a more engaged shareholders' and sustainable corporate governance.

Copenhagen Compliance ® has collected a comprehensive group of experts and associates incl.: Professors, experienced advisory consultants, legal advisors and CPA's and other specialists with extensive experience in the Financial Services sector, to conduct workshops, seminars, provide implementation guidance, templates, controls and IT tools, throughout the EU member states.

The founding fathers of The EU banking Union



Michel Barnier, or a representative Commissioner, Responsible for Internal Market and Services



Jonathan Faull, or a representative Director General for Internal Market and Services

Members of several working committees that formed the Banking Union:

Erkki Liikanen • Hugo Bänziger • José Manuel Campa • Louis Gallois • Monique Goyens • Jan Pieter Krahnen • Marco Mazzucchelli • Carol Sergeant • Zdenek Tuma • Jan Vanhevel, Herman Wijffels • Nadia Calviño • Nathalie De Basaldua • Martin Merlin • Mario Nava • Leonie Bell • Jan Ceyssens • Sarai Criado Nuevo • Mattias Levin • Stan Maes • Sonja Van Buggenhout and many more...

The sum of the 3 conferences will provide the knowledge and recommendations for implementing the program and framework including the integrated IT tool required to achieve the processes and systems.

Paris. (9th April 2013) The first meeting focuses on the financial regulation reforms proposed by the EU Commission for the Banks, Insurance and other financial undertakings.

Bruxelles. The next seminar focuses on the financial regulation reforms proposed by the EU Commission for the Financial Markets.

Frankfurt. The third conference focuses on the implementation of the financial regulation reforms proposed by the EU Commission for the Banks, Insurance and Financial Markets.

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TODAY**

Conference Fees
Euro 980 + VAT

Register Online @
www.copenhagencompliance.com

Copenhagen Compliance also offers a practical Inhouse training option. The charge for each assignment depends on the number of instructors.

www.copenhagencompliance.com/inhouse.htm

Agenda

This series of conferences is a must attend event for all persons involved in the financial services industry, from large and small banks, credit unions, investment funds and supervision authorities

The common issue on each conference agenda is to provide the participants with an updated and practical information on the reforms for inspiration and analysis are:

- The financial regulation and the Banking Union. - Description/Overview of framework, Exercise of Oversight and Controls
- The financial regulation and the Banking Union. - Reporting and Disclosures, IT Platform, Reporting and Follow-up
- MiFID II, CRD 4, Solvency II, Insurance Mediation and UCITS Frameworks - Status & possible consequences for compliance
- A single Supervisory Framework – Consequences for the financial industry
- The future of EBA, ESMA and FSA. Their role & the legal status
- Data protection, security and Privacy issues - the new directive & consequences in practice
- The IT tool for legal and compliance risks - a substantial input from outside
- Whistle blowing, transparency and accountability issues in the financial sector – the consequences, updates, experience & reflections that need to be accommodated.
- Best practices guidelines - a new role of supervision. The centralised and decentralized functions
- The optimal scope of compliance functions for your organisation – The what and the why
- Oversight requirements and expectations of the compliance function.

Align Systemic Problems Default Internal Controls Environment Social Governance Governance, Risk and
Sarbanes Oxley Act Of 2002 - SOX Controls Assurance Diminishing of Growth SaaS, PaaS, IaaS Mo
Chute Corporate Governance Best Practices Easy Credit Internal Audit Data Ana
Enterprise Governance Risk and Compliance GRC Programs Corporate Governance Cloud, Virtualization
Social Responsibility Enterprise GRC Solutions Corporate Governance Quotient - CGQ Business Tra
Management Methodology Enterprise Compliance Architecture Enterprise GRC Solutions Resources Enterprise Compliance Fram
Presentation Risk Management Cloud Strategy Align Verify Justify Governance Frameworks and Stan



Copenhagen Compliance® creates, produces, and manages custom tailored advice and conferences sessions worldwide. The center of attention is on industry specific topics focused on a variety of Good Governance, Risk Management and Compliance (GRC) issues. Copenhagen Compliance® has dedicated resources for research in Good Governance, Risk Management and Compliance issues involving business schools on 4 continents.

Please contact us for information regarding a GRC dialogue, communication, presentation or a workshop at your next meeting.

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